



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO./TITLE
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09/700,737 08/15/96 PONATH P LKS95-10

0232/0930  
HAMILTON BROOK SMITH & REYNOLDS  
TWO MILITIA DRIVE  
LEXINGTON MA 02173-4799

DATE MAILED: 0000

**NOTICE TO FILE MISSING PARTS OF APPLICATION  
FILING DATE GRANTED** 09/30/96

An Application Number and Filing Date have been assigned to this application. However, the items indicated below are missing. The required items and fees identified below must be timely submitted **ALONG WITH THE PAYMENT OF A SURCHARGE** for items 1 and 3-6 only of \$ 130 for large entities or \$ 65 for small entities who have filed a verified statement claiming such status. The surcharge is set forth in 37 CFR 1.16(e).

If all required items on this form are filed within the period set below, the total amount owed by applicant as a ☒ large entity, ☐ small entity (verified statement filed), is \$ 130.

Applicant is given **ONE MONTH FROM THE DATE OF THIS LETTER, OR TWO MONTHS FROM THE FILING DATE** of this application, **WHICHEVER IS LATER**, within which to file all required items and pay any fees required above to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

1. ☐ The statutory basic filing fee is: ☐ missing ☐ insufficient. Applicant as a ☐ large entity ☐ small entity, must submit \$ \_\_\_\_\_ to complete the basic filing fee.
2. ☐ Additional claim fees of \$ \_\_\_\_\_ as a ☐ large entity, ☐ small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.
3. ☐ The oath or declaration:  
☐ is missing.  
☐ does not cover the newly submitted items.  
  
An oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date is required.
4. ☐ The oath or declaration does not identify the application to which it applies. An oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
5. ☐ The signature(s) to the oath or declaration is/are: ☐ missing; ☐ by a person other than the inventor or a person qualified under 37 CFR 1.42, 1.43, or 1.47. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
6. ☐ The signature of the following joint inventor(s) is missing from the oath or declaration:  
  
\_\_\_\_\_ An oath or declaration listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.
7. ☐ The application was filed in a language other than English. Applicant must file a verified English translation of the application and a fee of \$ \_\_\_\_\_ under 37 CFR 1.17(k), unless this fee has already been paid.
8. ☐ A \$ \_\_\_\_\_ processing fee is required since your check was returned without payment. (37 CFR 1.21(m)).
9. ☐ Your filing receipt was mailed in error because your check was returned without payment.
10. ☒ The application does not comply with the Sequence Rules. See attached Notice to Comply with Sequence Rules 37 CFR 1.821-1.825.
11. ☐ Other.

Direct the response to Box Missing Part and refer any questions to the Customer Service Center at (703) 308-1202.

**A copy of this notice MUST be returned with the response.**

**COPY 1 BE RETURNED WITH RESPONSE**

LKS95-10.TDC  
AJC49:WP  
DEB:HEW:AJC:emm  
November 21, 1996



PATENT APPLICATION  
Docket No.: LKS95-10

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Paul D. Ponath, Douglas J. Ringler, S.  
Tarran Jones, Walter Newman, José Saldanha  
and Mary M. Bendig

Serial No.: 08/700,737

Filed: August 15, 1996

For: HUMANIZED IMMUNOGLOBULIN REACTIVE WITH  $\alpha 4\beta 7$   
INTEGRIN

CERTIFICATE OF MAILING	
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to Assistant Commissioner for Patents, Washington, D.C. 20231	
on <u>11-27-96</u>	<u>Elizabeth M. Mahoney</u>
Date	Signature
Elizabeth M. Mahoney	
Typed or printed name of person signing certificate	

TRANSMITTAL OF DECLARATION/POWER OF ATTORNEY

The Assistant Commissioner  
For Patents  
Washington, DC 20231

Attn: BOX MISSING PART

Sir:

In response to the Notice to File Missing Parts dated September 30, 1996 from the Patent and Trademark Office, transmitted herewith are three executed Declaration/Power of Attorney documents for filing in the captioned application. A copy of the Notice is attached and authorization is given to charge Applicants' Attorney's Deposit Account No. 08-0380 in the amount of \$130.00 in payment of the surcharge for a Large Entity. A one-month

Petition For Extension of Time and authorization to charge Applicants' Attorney's Deposit Account No. 08-0380 in the amount of \$110.00 are being filed concurrently herewith.

Please charge Applicants' Attorney's Deposit Account No. 08-0380 for any additional fees that may be due in this matter. Two duplicate copies are enclosed for that purpose.

Respectfully submitted,

*Helen E. Wendler*

Helen E. Wendler  
Attorney for Applicants  
Registration No. 37,964  
Telephone: (617) 861-6240

Lexington, MA

Date: *November 26, 1996*

MAIL ROOM  
DEC 22 1996  
LKS95-10,TSQ  
AJC49:WP  
DEB:HEW:AJC:emm  
October 30, 1996

PATENT APPLICATION  
Docket No.: LKS95-10

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Paul D. Ponath, Douglas J. Ringler, S. Tarran  
Jones, Walter Newman, José Saldanha and Mary  
M. Bendig

Serial No.: 08/700,737

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on <u>11-27-96</u>	<u>Elizabeth M. Mahoney</u>
Date	Signature
<u>Elizabeth M. Mahoney</u>	
Typed or printed name of person signing certificate	

TRANSMITTAL OF SEQUENCE LISTING AND  
PRELIMINARY AMENDMENT IN RESPONSE TO NOTICE TO COMPLY  
WITH 37 C.F.R. §§1.821-1.825

Assistant Commissioner for Patents  
Washington, DC 20231

ATTN: BOX MISSING PART

Sir:

This amendment is submitted in response to the Notice to  
File Missing Parts of Application, and Notice to Comply with  
Sequence Rules 37 C.F.R. §§1.821-1.825 attached thereto,  
mailed from the Patent Office on September 30, 1996, copies  
of which are attached.

Applicants' Attorney requests a one-month extension of  
time to respond to the Notice. A Petition for the Extension

and the authorization to charge Deposit Account No. 08-0380 in the amount of \$110.00 are being filed concurrently.

Transmitted herewith is a copy of the "Sequence Listing" in paper form for the above-identified patent application as required by 37 C.F.R. §1.821(c) and a copy of the "Sequence Listing" in computer readable form as required by 37 C.F.R. §1.821(e). As required by 37 C.F.R. §1.821(f), Applicants' Attorney hereby states that the content of the "Sequence Listing" in paper form and the computer readable form of the "Sequence Listing" are the same and, as required by 37 C.F.R. §1.821(g), also states that the submission includes no new matter.

Applicants' Attorney submits the following amendments to comply with 37 C.F.R. §1.825:

In the Specification

Please insert immediately prior to the claims the "Sequence Listing" attached hereto, comprising SEQ ID NO.: 1-63, as new pages 118-146. Please renumber subsequent pages 118-125 as 147-154.

Respectfully submitted,

*Helen E. Wendler*

Helen E. Wendler  
Attorney for Applicants  
Registration No. 37,964  
Telephone: (617) 861-6240

Lexington, Massachusetts 02173

Date: *November 26, 1996*